Wealth Management
Dominion Securities





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Canada's leader in wealth management

At RBC Dominion Securities, we deliver unique value by combining the personalized service of a highly qualified advisor with the resources and expertise of Canada's leading global wealth management provider.[1]

Over 420,000 clients worldwide have counted on us for professional advice on all aspects of managing wealth – from building it to protecting it for the future.

Who we can help

You may have reached the stage where your financial affairs have become more complex, and you need a trusted professional to assist with managing your wealth. We can help:

- Busy executives and professionals looking to free up the time they spend managing their investments
- Successful business owners and self-employed professionals who need help managing personal and business assets
- Those who are approaching or in retirement, who want to make the most of their retirement savings and income
- Families looking to tax-efficiently transfer their wealth to the next generation or to charity
- Non-profit organizations who require assistance managing investments according to specific guidelines

A personalized strategy tailored to your goals

The starting point for achieving your personal financial goals is a personalized strategy tailored to your personal investment goals, how long you have to invest and how comfortable you are with market risk. Based on this, we will recommend guidelines for making investment decisions – including security selection and asset mix criteria. Over time, your plan will evolve to keep pace with your changing needs and will be adjusted to reflect current market conditions.

We also offer a comprehensive range of wealth management services for families, owner/managers, foundations and other organizations, from investment advice and portfolio management to financial, retirement and estate planning. As a wholly owned subsidiary of RBC, one of Canada's leading financial institutions, we also offer the extra peace of

mind that comes from working with a reputable, financially stable organization.

In-depth global economic and market perspective

Portfolio strategy and research

Making sense of today's ever-changing financial markets isn't easy. But having the right perspective on where the markets are going – and how they affect your portfolio – is essential to succeeding as an investor.

To ensure you have the context you need for making investment decisions, we provide access to our global team of equity research analysts, fixed-income traders, portfolio strategists and economists, as well as research from several leading firms:

- RBC Capital Markets provides extensive North American coverage, augmented by specialized teams in Europe, the U.K. and Asia-Pacific.
- Veritas, an independent third-party research firm with no trading or investment banking divisions, provides in-depth research exclusively to RBC Dominion Securities.
- Leading U.S. investment firms like JP Morgan offer coverage of thousands of companies around the world.

Our investment offering also includes a practical framework for portfolio management from the RBC Investment Strategy Committee. This leading strategy group is comprised of RBC's senior economists, portfolio strategists and research analysts.

After considering investment research and economic analysis, the Strategy Committee makes recommendations on portfolio structure, including:

- The optimal mix of stocks, bonds and cash
- Suggested terms for fixed-income investments
- A "focus list" of top-ranked Canadian stocks collectively expected to outperform the benchmark TSX

In addition, our dedicated Portfolio Advisory Group, consisting of equity, fixed-income and structured product specialists, provides portfolio ideas and recommendations exclusively to Investment Advisors and Portfolio Managers at RBC Dominion Securities.

Direct access to fixed-income and money markets

To tailor appropriate solutions, we tap into the deepest and broadest selection of secure money market and fixed-income investments in Canada, through RBC Capital Markets.

- #1 in Canada for Market Share and Quality (Greenwich Associates, 2019)
- Best Bank for Fixed Income Research (Technical Analyst Awards, 2018)

Please contact our office today to learn more, or to discuss the goals we can help you achieve.

Complimentary wealth management services

We offer complimentary financial plans and wealth consultations through our industry-leading RBC Wealth Management Services team.

Financial planning

We can provide a core financial plan suitable for most situations or, for more complex situations, an in-depth financial plan that leaves no stone unturned.

Will and estate consultation

For complex or high-value estates, a professional Will & Estate Consultant provides information on tax-effective estate structuring. Following the consultation, a report outlining various opportunities will be provided to explore in further detail, and potentially implement, with legal counsel.

Insurance assessment

A qualified Estate Planning Specialist assesses the need and suitability of tax-exempt insurance products to help create and enhance wealth, both today and in the future.

Strategic tax-minimization review

Working with our in-house tax specialists, we can review the effectiveness of particular strategies that may minimize taxes, such as family trust and spousal loan strategies.

[1] Based on total assets. Source: Retail Brokerage and Distribution Report – Canada, Winter 2019, Strategic Insight.

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